PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

[X]	In re ap	plication of: Formato, et al.	[] Patentee
[X]	Applica	ation No.: 09/750,402	[] Patent No.:
[X]	Filed or	n: December 28, 2000	[] Issued on:
Title	COMP	OSITE SOLID POLYMER ELEC	TROLVTE MEMBRANES
1 IIIC	COM	OSITE SOLID FOL TWIER ELEC	TROLT TE MEMBRANES
		STATEMENT CLAIM	ING SMALL ENTITY STATUS
		(37 CFR 1.9(f) and 1.27(b)	SMALL BUSINESS CONCERN
I hereb	y state th	at I am	•
	[]	the owner of the small business c	oncern identified below:
	[X]		ss concern empowered to act on behalf of the concern
Name (of Small	Business Concern Foster-N	Miller Inc
Addres	s of Sma	all Business Concern 350 Sec	ond Avenue, Waltham, MA 02154
United that the For pur previou during directly	ned in 13 States P e number rposes of us fiscal each of or indii	3 CFR 121.12, and reproduced in atent and Trademark Office under of employees of the concern, inc this statement, (1) the number of year of the concern of the person the pay periods of the fiscal year,	nall business concern qualifies as a small business concern, 37 CFR 1.9(d), for purposes of paying reduced fees to the Sections 41(a) and (b) of Title 35, United States Code, in luding those of its affiliates, does not exceed 500 persons. Temployees of the business concern is the average over the ns employed on a full-time, part-time or temporary basis, and (2) concerns are affiliates of each other when either, is the power to control the other, or a third-party or parties
busines		y state that rights under contract on identified above, with regard to	or law have been conveyed to, and remain with, the small the invention described in
	[X] the	e specification filed herewith, with application identified above. e patent identified above.	title as listed above.

NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)

under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern

	Each such person, concern or organization having any rights in the invention is listed below: [X] No such person, concern, or organization exists. [] Each such person, concern or organization is listed below.					
FULL N	NAME					
ADDRI	ESS					
[] IND	IVIDUAL	[] SMALL BUSINESS CONCERN	[] NONPROFIT ORGANIZATION			
FULL N	NAME					
ADDRI	ESS					
[] IND	IVIDUAL	[] SMALL BUSINESS CONCERN	[] NONPROFIT ORGANIZATION			
the issue	g in loss of e	entitlement to small entity status prior to parmaintenance fee due after the date on which	patent, notification of any change in status lying, or at the time of paying, the earliest of a status as a small business entity is no longer			
(check t	he following	item, if desired)				
NOTE:	-	ng verification statement need not be mad 1997, 62 Fed. Reg. 52131, effective Decen	e in accordance with the rules published or ber 1, 1997.			
NOTE:	paper by a 10.18(b) of or non-practitioner	party, whether a practitioner or non-pro this chapter. Violations of 10.18(b)(2) of the citioner, may result in the imposition of sa	filing, submitting, or later advocating) of any actitioner, constitutes a certification under his chapter by a party, whether a practitioner inctions under 10.18(c) of this chapter. Any to disciplinary action. See 10.18(d) and			
[X]	statements r were made fine or impr such willfu	made on information and belief are believed with the knowledge that willful false stater risonment, or both, under Section 1001 of	If my own knowledge are true and that all to be true; and further, that these statements ments and the like so made are punishable by Title 18 of the United States Code, and that lidity of the application, any patent issuing s directed.			
Title of	f Person Sig Person if Ot s of Person S	her Than Owner President				
SIGNA	TURE	Callian Mariel	Date <u>Z-27-01</u>			

Attorney Docket No. 47756-CIP1-DIV

Bostop, Massachusetts 02109

Pag 1 of 4

APR 1 3 2001 💆 DECLARATION AND POWER OF ATTORNEY

As below named inventor, I hereby declare that: My residence, post office address and city may be are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed at 201) below or an original, first and joint inventor (if plural names are listed at 201-205 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMP(OSITE SOLID POLYMER ELECTROLYTE MEMBRANES		<u> </u>
which is de	escribed and claimed in:		
	the specification attached hereto.	. •	
X	the specification in U.S. Application Serial Number	09/750,402	_, filed on
Ö	the specification in PCT international application Number filed on	mber	·

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Prior Foreign/PCT Applications and Any Priority Claims Under 35 U.S.C. §119:					
Application No.	Filing Date	Country	Priority Claimed Under 35 U.S.C. §119?		
			□YES □NO		
			□YES □NO		
			□YES □NO		
	·		□YES □NO		
			□YES □NO		

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this

Prior U.S. Applications of PCT Intornational Applications Designating the U.S-Benefit

Und r 35 U.S.C. §120						
	U.S. Application	ons	St	tatus (Checl	(One)	
Applicatio	n Serial No.	U.S. Filing Date	Patented	Pending	Aband ned	
09/26	51,349	03/03/99	X			
			`			
PCT	Applications Design	ating the U.S.	·			
Application No.	Filing Date	U.S. Serial No. Assigned	-			
		·				

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. §119(e))

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ý.	·			

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David G. Conlin Peter F. Corless Christine C. O'Day (Reg. No. 27,026) (Reg. No. 33,860) (Reg. No. 38,256) George W. Neuner Lisa Swiszcz Hazzard (Reg. No. 44,368) (Reg. No. 26,964) Cara Z. Lowen (Reg. No. 38,227) Linda M. Buckley (Reg. No. 31,003) William J. Daley, Jr. (Reg. No. 34,587) George Hartnell (Reg. No. 44,368) Peter J. Manus (Reg. No. 26,766) Robert L. Buchanan (Reg. No. 40,927) David Tucker (Reg. No. 27,840)

SEND CORRESPONDENCE TO: Linda M. Buckley Dike, Bronstein, Roberts & Cushman, LLP	DIRECT TELEPHONE CALLS TO: Linda M. Buckley
130 Water Street Boston, Massachusetts 02109	(617) 523-3400

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
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U	10	203 Beach Street	Wrentham	Massachusetts 02093

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		OSENAR	Paul	
2 0 3	RESIDENCE & CITIZENSHIP	СІТУ	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
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	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		LANDRAU	Nelson	
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
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11 1	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
2		RUBIN	Leslie	s.
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		114 Parker Avenue	Newton	Massachusetts 02161

Signature of Inventor 201 X July M. Fourth	Signature of Inventor 202
Date:	Date:
Signature of Inventor 203	Signature of Inventor 204
Date:	Date:
Signature of Inventor 205	
	-
Date:	

Attorney Docket No. 47756-CIP1-DIV

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Pag 1 of 4

APR 1 3 2001 -

DECLARATION AND POWER OF ATTORNEY

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	ntion entitled: SITE SOLID POLYMER ELECTROLYTE MEMBRANES
which is de	scribed and claimed in:
	the specification attached hereto.
X	the specification in U.S. Application Serial Number09/750,402, filed on
	the specification in PCT international application Number,

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	S	y	U.S.C. §119?
			□YES □NO

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Prior U.S. Applications r PCT Intornational Applications D signating the U.S-Benefit Under 35 U.S.C. 8120

U.S. Applications		Status (Check One)			
Applicatio	n Serial No.	U.S. Filing Date	Patented	Pending	Aband n d
09/26	51,349	03/03/99	X		
рст	Applications Design	oting the II S			
Application No.	Filing Date	U.S. Serial No. Assigned			

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. §119(e))

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	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
_		FORMATO	Richard	M.
2) 1	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Shrewsbury	Massachusetts	U.S.A.
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		46 Stoneland Road	Shrewsbury	Massachusetts 01545
	FULL NAME OF INVENTOR	LAST NAME	Shrewsbury FIRST NAME	Massachusetts 01545 MIDDLE NAME
	1			
	1	LAST NAME	FIRST NAME	MIDDLE NAME

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDELE NAME
		OSENAR	Paul	
2 0 3	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Watertown	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		100 Waltham Street, #9	Watertown	Massachusetts 02472

Wrentham

CITY

STATE OR COUNTRY AND ZIP CODE

Massachusetts 02093

POST OFFICE ADDRESS

POST OFFICE ADDRESS

203 Beach Street

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		LANDRAU	Nelson	·
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		Marlborough	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
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2	FULL NAME OF INVENTOR	LAST NAME LAST NAME RUBIN	FIRST NAME Leslie	MIDDLE NAME S.
0 5	RESIDENCE & CITIZENSHIP	СІТУ	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Newton	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		114 Parker Avenue	Newton	Massachusetts 02161

Signature of Inventor 201	Signature of Inventor 202
	03/14/01 That I ma
Date:	Date:
Signature of Inventor 203	Signature of Inventor 204
Date:	Date:
Signature of Inventor 205	
Julie S. Rela	
Date: 3/14/61	

Attorn v Docket N . 47756-CIP1-DIV

Boston, Massachusetts 02109

Page 1 f 4

DECLARATION AND POWER OF ATTORNEY

APR 1 3 2001 - 2 As below named inventor, I hereby declare that: My residence, post office address and are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed at 201) below or an original, first and joint inventor (if plural names are listed at 201-205 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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X	the specification in U.S. Application Serial Number09/750.402, filed on
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Application No.	Filing Date	Country	Priority Claim of Under 35 U.S.C. §119?
			□YES □NO

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Pri r U.S. Applicati	ns or PCT International Applications Designating the U.S-B n	efit
	Under 35 U.S.C. 8120	

· 	Under 35 U.S.C. §120			<u>.</u>
U.S. Applications		Status (Check One)		
n Serial No.	U.S. Filing Date	Patented	Pending	Abandoned
51,349	03/03/99	X		
Applications Design	ating the U.S.	·		
Filing Date	U.S. Serial No. Assigned	·		
	n Serial No. 51,349 Applications Design	U.S. Applications n Serial No. U.S. Filing Date 03/03/99 Applications Designating the U.S.	U.S. Applications Some Serial No. U.S. Filing Date Patented 51,349 O3/03/99 X Applications Designating the U.S.	U.S. Applications Status (Check n Serial No. U.S. Filing Date Patented Pending 51,349 Applications Designating the U.S.

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. §119(e))

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2 0	FULL NAME OF	LAST NAME	FIRST NAME	MIDDLE NAME
		FORMATO	Richard	M.
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	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		KOVAR	Robert	F
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		Wrentham	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
-		203 Beach Street	Wrentham	Massachusetts 02093

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	POST OFFICE ADDRESS	POST OFFICE ADDRESS 2017(-pelo RQ	СІТУ	STATE OR COUNTRY AND ZIP CODE
		-100 Waitham Street, #9	Watertown	Massachusetts 02472

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		LANDRAU	Nelson	
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		RUBIN	Leslie	S.
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3		Newton	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
	, i	114 Parker Avenue	Newton	Massachusetts 02161

Signature of Inventor 201	Signature of Inventor 202	
·	·	
Date:	Date:	
Signature of Inventor 203	Signature of Inventor 204	
Van Osen		
Date: 3/5/01	Date:	
Signature of Inventor 205		
Date:		

Attorn Socket N . 47756-CIP1-DIV

130 Water Street

Bosson, Massachusetts 02109

Page 1 of 4

APR 1 3 2001

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Application No.	Filing Date	Country	Priority Claimed Under 35 U.S.C. §119?
			□YES □NO
			□YES □NO
	·		□YES □NO
			□YES □NO
			□YES □NO

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Pri r U.S. Applicati ns r PCT Internati nal Applicati ns D signating the U.S-Benefit Under 35 U.S.C. 8120

	Under 35 U.S.C. §120	1			
U.S. Applications			Status (Check One)		
n Serial No.	U.S. Filing Date	Patented	Pending	Aband ned	
51,349	03/03/99	X			
Applications Design	ating the U.S.	·			
Filing Date	U.S. Serial No. Assigned				
				`	
				<u> </u>	
	n Serial No. 51,349 Applications Design	M.S. Filing Date 03/03/99 Applications Designating the U.S. Filing Date U.S. Serial No. Assigned	U.S. Applications In Serial No. U.S. Filing Date Patented 51,349 O3/03/99 X Applications Designating the U.S. Filing Date U.S. Serial No. Assigned	U.S. Applications Status (Check n Serial No. U.S. Filing Date Patented Pending X Applications Designating the U.S. Filing Date U.S. Serial No. Assigned	

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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		46 Stoneland Road	Shrewsbury	Massachusetts 01545

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		KOVAR	Robert	F
2 0 2	RESIDENCE & CITIZENSHIP	СІТҮ	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
2		Wrentham	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	СПУ	STATE OR COUNTRY AND ZIP CODE
		203 Beach Street	Wrentham	Massachusetts 02093

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		OSENAR	Paul	
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Watertown	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	СІТУ	STATE OR COUNTRY AND ZIP CODE
		100 Waltham Street, #9	Watertown	Massachusetts 02472

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		LANDRAU	Nelson	/WON /wolland
2 0 4	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Marlborough	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		P.O. Box 625	Marlborough	Massachusetts 01752

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
		RUBIN	Leslie	S.
0 5	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Newton	Massachusetts	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		114 Parker Avenue	Newton	Massachusetts 02161

Signature of Inventor 201	Signature of Inventor 202
Date:	Date:
Signature of Inventor 203	Signature of Inventor 204 X //// / with and
Date:	Date: 2/28/01
Signature of Inventor 205	
Date:	